

Modified FORM PTO-1390 (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER ACE-1001
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/009229</b>	
INTERNATIONAL APPLICATION NO. PCT/JP00/02771	INTERNATIONAL FILING DATE 27 April 2000	PRIORITY DATE CLAIMED 07 May 1999		
<b>TITLE OF INVENTION</b> PET BOTTLE				
<b>APPLICANT(S) FOR DO/EO/US</b> Chisato HIRATA				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in (35 U.S.C. 371(b) and PCT Articles 22 and 39(1)).</li> <li><input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>				
<b>Items 11. to 16. below concern document(s) or information included:</b>				
<ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.            <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information: RETURN POSTCARD</li> </ol>				

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
<b>10/009229</b>	PCT/JP00/02771	ACE-1001	
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00</p>		<b>CALCULATIONS</b> PTO USE ONLY	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b> =		\$ 890.00	
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(3)).</p>		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	3 - 20 =	0	X \$18.00 \$ 0.00
Independent claims	1 - 3 =	0	X \$84.00 \$ 0.00
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>		+ \$280.00	\$280.00
<b>TOTAL OF ABOVE CALCULATIONS</b> =		\$1170.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).		\$585.00	
<b>SUBTOTAL</b> =		\$585.00	
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		\$	
<b>TOTAL NATIONAL FEE</b> =		\$585.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$0.00	
<b>TOTAL FEES ENCLOSED</b> =		\$585.00	
		<b>Amount to be refunded:</b> \$	
		<b>charged</b> \$	
a. <input type="checkbox"/> A check in the amount of \$585.00 to cover the above fees is enclosed.			
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>02-0410</u> in the amount of <u>\$585.00</u> to cover the above fees.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-0410</u> . A duplicate copy of this sheet is enclosed.			
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b></p>			
<p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Mitchell P. Brook Baker &amp; McKenzie 101 West Broadway, 12<sup>th</sup> Floor San Diego, California 92101-0429</b></p>			
 <p><b>SIGNATURE:</b></p> <p><b>Mitchell P. Brook</b></p> <p><b>NAME</b></p> <p><b>32,967</b></p> <p><b>REGISTRATION NUMBER</b></p>			

101009229

**TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE**

Date	
International Application No.	
Attorney Docket No.	ACE-1001

**I. Certification under 37 CFR 1.10 (if applicable)**

EL 904 935 269 US

Express Mail mailing number

6 November 2001

Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

*Karen Cruz*

Signature of person mailing correspondence

Karen M. Cruz

Typed or printed name of person mailing correspondence

**II.  New International Application**

TITLE PET BOTTLE

Earliest priority date  
(Day/Month/Year)

07 May 1999

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A.  The invention disclosed was not made in the United States.
- B.  There is no prior U.S. application relating to this invention.
- C.  The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.		filed on	
application no.		filed on	

- D.  The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages \_\_\_\_\_ and  DOES NOT ALTER  MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

**III.  A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:**

- A.  A Request for An Extension of Time to File a Response
- B.  A Power of Attorney (General or Regular)
- C.  Replacement pages:

pages	of the request (PCT/RO/101)	pages	of the figures
pages	of the description	pages	of the abstract
pages	of the claims		

- D.  Submission of Priority Documents

Priority document	Priority document
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- E.  Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

**IV.  A Request for Rectification under PCT 91  A Petition  A Sequence Listing Diskette****V.  Other (please specify):**

Transmittal Letter Concerning a Filing Under 35 U.S.C. 371; Copy of Published Application and English Translation; Fee Transmittal and return postcard; Combined Dec/POA; Assignment and Recordation Form Cvr sheet

The person signing this form is the:	<input type="checkbox"/> Applicant	Mitchell P. Brook
	<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.)	Typed name of signer
	32,967	
	<input type="checkbox"/> Common Representative	Signature